

# THOMSON REUTERS DATASCOPE FOR COUNTERPARTY AND COMPLIANCE DATA

Thomson Reuters DataScope for Counterparty and Compliance Data offers direct access to a world of outstanding business entity data. It's a flexible managed service so you can request and retrieve as much data as you need to, when you want to. And it can be easily integrated with your operations and workflow to provide accurate and timely credit and risk management information throughout your business.

Thomson Reuters DataScope for Counterparty and Compliance Data. A managed service that supports your regulatory processes and assists with credit and risk management and counterparty classifications. It gives you easy access to quality data coverage for pricing, reference and terms and conditions across all major asset classes.

Gain instant access to key data attributes on businesses from more than 173 countries, including public and private companies, and public sector organizations such as municipalities and state governments. Thomson Reuters DataScope for Counterparty and Compliance Data will support your business expansion too with fully integrated, automated new entity request functionality to support new account on-boarding and periodic re-papering.

#### ACCESS A WEALTH OF ACCURATE CROSS-LINKED DATA

Thomson Reuters DataScope for Counterparty and Compliance Data simplifies compliance with regulatory obligations. These include the EU Anti-money Laundering Directive, UK Financial Services & Markets Act, the Patriot Act, UCITS III, Basel II and KYC.

You can access extensive source information on key data attributes. Source type, such as regulatory filing, regulator and exchange is included along with the source description such as a 10k filing and the source link, with a URL if applicable. There is also an attribute-level change history for each counterparty record. All Counterparty and Compliance Data is supported by rigorous verification and quality control procedures and all counterparty records are re-verified with source documentation at least annually. Corporate events are captured and processed as they occur.

You can continually cross-reference and link instrument pricing and reference data to individual issuing entities through a managed link between Reuters Instrument Codes (RICs) and Reuters DataScope Counterparty Identifier (RCP ID).

#### WHY CHOOSE THOMSON REUTERS DATASCOPE FOR COUNTERPARTY AND COMPLIANCE DATA?

Meet four objectives at once. You will lighten the burden of regulatory compliance, manage your risk exposure, save time and money, and simplify data integration. The service standardizes the capture, hold and management of data about counterparties for both commercial and compliance purposes.

#### EASE THE BURDEN OF REGULATORY COMPLIANCE

Legislative compliance is more of a journey than a destination. Thomson Reuters DataScope for Counterparty and Compliance Data helps you all along the way. The service has been designed to accommodate the ever-increasing number of counterparty classifications and to meet the general need to store and retrieve increasing volumes of data. We perform regular rigorous quality control and all data carries a full audit trail. Specific applications include:

##### Know your Customer/Patriot Act, Sections 316 and 352

- Each counterparty is allocated a unique RCP ID to support a customer identification program
- All records are validated with primary sources and carry a full audit trail
- Fully integrated consolidated matching against sanction and enforcement lists

##### UCITS III –

##### Standardization of European Unit Trust regulations

- Group entities into corporate hierarchies to ascertain aggregate ownership from a single issuer
- Map Instruments (evaluated prices) to entities

##### Credit Risk (Basel II)

- Identify ultimate parent of issuer by rollup from RIC
- Establish legal entity hierarchy through accurate identification of each counterparty group

##### MiFID

- Access and retrieve evidence of regulation and Principal Exchange Membership

#### MANAGE YOUR RISK EXPOSURE

In the current market environment, you need to understand your total exposure now more than ever. By linking from asset to issuer to ultimate parent across the corporate family tree, you can assess critical identifying attributes as well as integrate issuer ratings from the major rating agencies, giving you a full picture of risk.



### SAVE TIME AND MONEY

Relax in the knowledge that your ongoing data needs will be provided for – we will source and maintain counterparty data for you. As the largest financial information provider in the world we understand how crucial accurate and timely data is to your business.

We want you to get maximum benefit from the service. Our commercial terms encourage usage, represent good value for money, and are easily understood.

Reuters DataScope for Counterparty and Compliance automatically keeps a full audit trail of usage. So you can monitor usage across your business and measure the real benefits.

### EASE OF INTEGRATION

Integrate the service into your existing operations and workflow. The extensive use of RIC codes facilitates easy cross-referencing with market security identifiers and linked to an issue, issuer or legal entity hierarchy. This means you can extract timely and accurate risk management information at any time.

### YOU HAVE CONTROL

Service delivery is through Thomson Reuters DataScope Select, our hosted request-and-retrieve platform. You will receive content delivered directly to your desktop through a browser-based data extraction and management system. You have total control over what data you retrieve and when.

As well as compliance and counterparty data, you can access and retrieve a growing population of premium-quality pricing and reference data, with comprehensive global coverage of equities, funds, derivatives, money/foreign exchange and warrants.

Fixed-income coverage includes governments, corporates, convertibles, high yield, municipals, asset-backed and mortgage-backed securities.

### THE POWER TO DELIVER

Thomson Reuters DataScope Select delivers your data rapidly using the latest file compression technology. You choose a delivery method to suit your own needs from a range of FTP, SFTP, Radianz, Internet (http/https) and API.

Data extraction is highly flexible with a choice of request-and-retrieve formats or a weekly bulk file. We also help you with data integration using enhanced workflow tools to automate file generation, scheduling and production of reports. Once configured, maintenance is minimal.

You'll find Thomson Reuters DataScope Select intuitive to use and online help is available at every stage. However, we offer round-the clock technical support to ensure you get the most from the service.

### OUR COMMITMENT TO YOU

We know that your needs are evolving and that your expectations are high. So we have committed to a program of continual service enhancement. Future releases of the service will include:

- Payment Codes for Banks and Branches
- Coverage extended to Institutional Holders data
- Additional attributes sourced for each entity to support on-going regulatory requirements

### FIND OUT MORE

Thomson Reuters DataScope for Counterparty and Compliance Data offers a practical answer to the sourcing, management and integration of business entity data. It has been proven to help organizations achieve legislative compliance while saving time and money.

For more information contact your Thomson Reuters account manager or visit [reuters.com/counterparty](https://reuters.com/counterparty)

### OUR SERVICE SUPPORTS THE FOLLOWING KEY DATA ATTRIBUTES:

REUTERS COUNTERPARTY ID (RCP ID)
REUTERS ORGANIZATION ID (ORGID)
OFFICIAL / LEGAL NAME
TRADING NAME(S) (UP TO 5)
COUNTERPARTY TYPE
COUNTERPARTY SUB-TYPE
SANCTIONS LIST STATUS#
LEGAL/REGULATORY ENFORCEMENT & SANCTIONS LIST STATUS#
LEGAL/REGULATORY ENFORCEMENT & SANCTIONS MODIFIED DATE#
REGISTERED ADDRESS
HEADQUARTERS / PRINCIPAL TRADING ADDRESS
ENTITY URL
COUNTRY OF DOMICILE
DATE OF INCORPORATION
COMPANY / REGISTRATION NUMBER
COMPANY / REGISTRATION AUTHORITY
JURISDICTION OF INCORPORATION
COUNTRY OF INCORPORATION
FATF JURISDICTION
NAICS SECTOR CODES~ (UP TO 10)
SIC SECTOR CODES~ (UP TO 10)
GICS INDUSTRY CODES
REUTERS BUSINESS SECTOR SCHEME (RBSS)
PRIMARY REGULATOR
PRIMARY REGULATOR ID
CIK CODE
TAX AUTHORITY TYPE
TAX FILE ID
IMMEDIATE PARENT OFFICIAL NAME
ULTIMATE PARENT OFFICIAL NAME
OBSOLETE EVENT TYPE
OBSOLETE EVENT DATE
SUCCESSOR INFORMATION*
REGULATION FLAG
RECORD ACTIVE FLAG
ISSUER RATINGS (FITCH, MOODY'S, S&P)

~ Data integrated from Reuters Knowledge  
# Data provided by Complanet

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