

THOMSON REUTERS TICK HISTORY

COMPLIANCE

Effective compliance checks require accurate, tick-by-tick historical data. **Thomson Reuters Tick History for Compliance** offers a precise record of market behaviors, so firms can prevent expensive regulatory actions. Our Compliance solution employs a universal 24-hour clock and common field identifiers to make comparisons easy.

Today's marketplace has seen an unprecedented amount of market data that pose new technological and regulatory changes for firms to address. Events such as the May 6, 2010 'Flash Crash' clearly demonstrate the importance of data in today's world of fully-automated trading strategies and systems, the SEC and the CFTC concluded in a recent report. More than ever, firms need a Compliance solution that can meet today's high-speed, data-driven marketplace.

FEATURES

Thomson Reuters Tick History for Compliance simplifies compliance with regulations such as MiFID, Regulation NMS, Pre and Post Trade Analytics, and Market Abuse. Use the solution to:

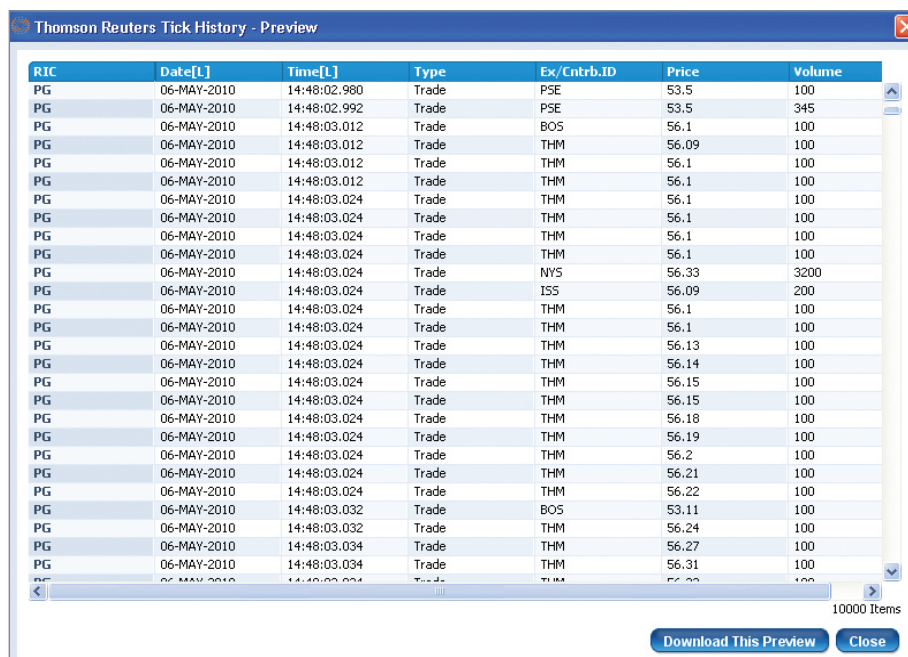
- Compare daily market level intelligence by venue
- Determine and establish best execution venues
- Prove compliance on trades back to 1996
- Condition codes for defining and describing a trade, as well as identify trading sessions
- Power market surveillance solutions
- Maintain daily post-trade compliance

BENEFITS

With Thomson Reuters Tick History for Compliance, users can:

- Maintain an accurate representation of the market at the time of the trade, making it easy for users to retrieve the information you need around each trade
- With hosted access, crucial market data can be located and used in preferred compliance applications **without** data storage and IT overheads
- Thomson Reuters Tick History partners with leading compliance solutions providers including NICE Actimize, Mantas and NASDAQ OMX-owned SMARTS

Thomson Reuters Tick History provides 2 petabytes of microsecond, timestamped tick data going back over a decade, covering more than 45 million OTC and exchange traded instruments worldwide. That's a wealth of complete, reliable and easily accessible data that can help you both enhance your compliance algorithms and perform in-depth trade resolution research.



RIC	Date[L]	Time[L]	Type	Ex/Cntrb.ID	Price	Volume
PG	06-MAY-2010	14:48:02.980	Trade	PSE	53.5	100
PG	06-MAY-2010	14:48:02.992	Trade	PSE	53.5	345
PG	06-MAY-2010	14:48:03.012	Trade	BOS	56.1	100
PG	06-MAY-2010	14:48:03.012	Trade	THM	56.09	100
PG	06-MAY-2010	14:48:03.012	Trade	THM	56.1	100
PG	06-MAY-2010	14:48:03.012	Trade	THM	56.1	100
PG	06-MAY-2010	14:48:03.024	Trade	THM	56.1	100
PG	06-MAY-2010	14:48:03.024	Trade	THM	56.1	100
PG	06-MAY-2010	14:48:03.024	Trade	THM	56.1	100
PG	06-MAY-2010	14:48:03.024	Trade	THM	56.1	100
PG	06-MAY-2010	14:48:03.024	Trade	NYS	56.33	3200
PG	06-MAY-2010	14:48:03.024	Trade	ISS	56.09	200
PG	06-MAY-2010	14:48:03.024	Trade	THM	56.1	100
PG	06-MAY-2010	14:48:03.024	Trade	THM	56.1	100
PG	06-MAY-2010	14:48:03.024	Trade	THM	56.13	100
PG	06-MAY-2010	14:48:03.024	Trade	THM	56.14	100
PG	06-MAY-2010	14:48:03.024	Trade	THM	56.15	100
PG	06-MAY-2010	14:48:03.024	Trade	THM	56.15	100
PG	06-MAY-2010	14:48:03.024	Trade	THM	56.18	100
PG	06-MAY-2010	14:48:03.024	Trade	THM	56.19	100
PG	06-MAY-2010	14:48:03.024	Trade	THM	56.2	100
PG	06-MAY-2010	14:48:03.024	Trade	THM	56.21	100
PG	06-MAY-2010	14:48:03.024	Trade	THM	56.22	100
PG	06-MAY-2010	14:48:03.032	Trade	BOS	53.11	100
PG	06-MAY-2010	14:48:03.032	Trade	THM	56.24	100
PG	06-MAY-2010	14:48:03.034	Trade	THM	56.27	100
PG	06-MAY-2010	14:48:03.034	Trade	THM	56.31	100

Thomson Reuters Tick History provides an easy-to-use compliance preview screen. In this screen, compliance officers can see how Proctor & Gamble is fluctuating over 10% in the span of milliseconds.



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