



THOMSON REUTERS  
REGULATORY  
INTELLIGENCE

**ADVANCED SEARCH  
QUICK START GUIDE**

## ABOUT THIS QUICK START GUIDE

This quick start guide has been designed to assist you in using the Advanced Search feature of Thomson Reuters Regulatory Intelligence. For further information and guidance please contact our Global Client Support team who will be happy to assist you.

Email: **grc.crr.clientsupport@thomsonreuters.com**

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US: **+1 917 421 7234**

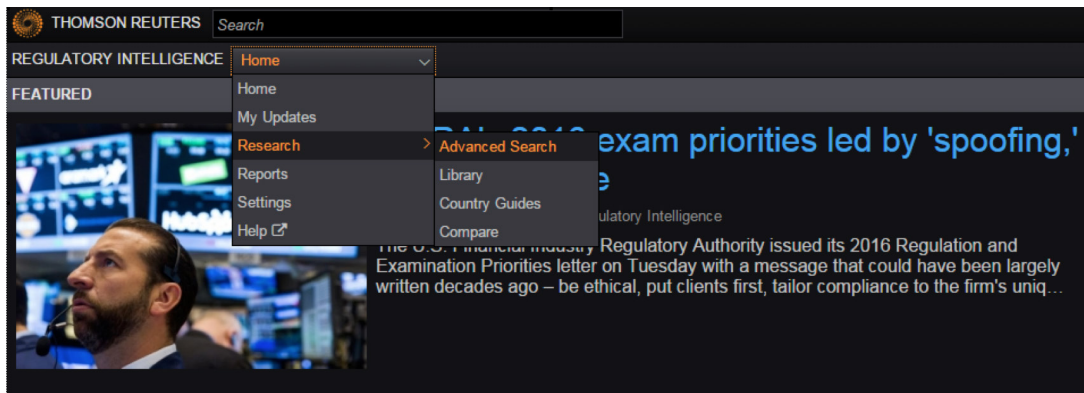
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*Please note that live product training sessions are available. These will help you gain invaluable insight into how to take full advantage of the benefits Thomson Reuters Regulatory Intelligence has to offer. If you are interested, please register [here](#).*

# ADVANCED SEARCH QUICK START GUIDE

From the home page drop-down menu, select **Research** and then choose **Advanced Search**. When in Advanced Search, you can use keywords and phrases and/or filter parameters to search across all of the content available within Thomson Reuters Regulatory Intelligence (TRRI).



## SEARCH IN ONE OF THREE WAYS

There are three types of searches available when using the search box:

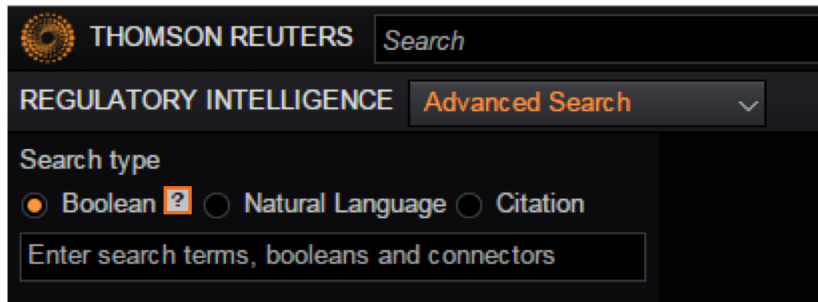
- Boolean
- Natural Language
- Citation



# BOOLEAN

Boolean search allows you to combine keywords with operators to produce more relevant results.


Click on the **question mark** icon next to the Boolean search type to view a list of operators.



THOMSON REUTERS Search

REGULATORY INTELLIGENCE Advanced Search

Search type

☒ Boolean  ☐ Natural Language ☐ Citation


Enter search terms, booleans and connectors

BOOLEANS, CONNECTORS AND EXPANDERS ×	
&	AND
/s	Same sentence
or	OR
+s	Preceding within sentence
/p	In the same paragraph
""	Phrase
+p	Preceding within paragraph
%	But not
/n	Within n terms of
!	Root expander
+n	Preceding within n terms of
*	Universal character
#	Prefix to turn off plurals and equivalents

The table below provides more information about what these operators mean:

SYNTAX	EXAMPLE	DESCRIPTION
<b>&amp;</b>	X <b>&amp;</b> Y	Searches for term x and term y; both terms must appear within the document.
<b>/s</b>	cat <b>/s</b> dog	Sentence searching: Terms must appear in the same sentence; cat <b>/s</b> dog retrieves instances of cat and dog in the same sentence.
<b>or</b>	X <b>or</b> Y	Searches for term x <b>or</b> y; either x <b>or</b> y must appear within the document.
<b>+s</b>	cat <b>+s</b> dog	Sentence searching: Terms must appear in the same sentence and terms to the left of <b>+s</b> must precede terms to the right.
<b>/p</b>	cat <b>/p</b> dog	Paragraph searching: Terms must appear in the same paragraph; cat <b>/p</b> dog retrieves instances of cat and dog in the same paragraph.
<b>""</b>	"supreme court"	Phrase searching: Terms must appear in the same way as shown in the quotation marks. Searches for the exact phrase "supreme court" as a whole.
<b>+p</b>	cat <b>+p</b> dog	Paragraph searching: Terms must appear in the same paragraph and terms to the left of +p must precede terms to the right of <b>+p</b> ; cat <b>+p</b> dog retrieves instances of cat and dog in the same paragraph when cat precedes dog.
<b>%</b>	X <b>%</b> Y	Searches for term X but not term Y: X must appear; Y must not appear within the document.
<b>/n</b>	cat <b>/4</b> dog	Terms must appear within a specified number (n) of terms of each other; cat <b>/4</b> dog: cat must appear within 4 terms of dog.
<b>!</b>	know <b>!</b>	Root Expander: Retrieves all possible endings of that root up to 16 characters. Represents one to many variable characters. May be placed anywhere in a term except at the beginning. <b>know!</b> Retrieves know, known, knowing, knowingly, knowable, knows, knowledge, knowledgeable.
<b>+n</b>	cat <b>+2</b> dog 20 <b>+5</b> 1080	Terms must appear within a specified number (n) of terms of each other and terms to the left of <b>+n</b> must precede terms to the right; cat <b>+2</b> dog retrieves dog appearing within 2 words of cat when cat precedes dog. 20 <b>+5</b> 1080 (great way to look for statutory citations).
<b>*</b>	s****holder fea*t w*n***	Universal character or "wildcard" (* Represents one variable character. May be placed anywhere in a term except at the beginning. Within a term, requires that a character appears in the specified position. s****holder retrieves shareholder and stockholder. fea*t retrieves feast but not feat. At the end of the term, specifies the maximum length of the term except for plurals, possessives and stemming: w*n*** retrieves win, winner, won, went and wanna but not winnable which contains more characters than the specified maximum length of the query. However winners (plural) would be retrieved.
<b>#</b>	<b>#</b> damage <b>#</b> perm	Prefix to turn off plurals & equivalents. Plural forms are automatically searched. (Search will not look for singular when entered in plural format.) You can turn off plurals of a particular term by placing the <b>#</b> symbol in front of the term. child retrieves child & children. <b>#</b> damage retrieves damage but not damages. Most accepted variances of the spelling of a term will retrieve other accepted variations. Placing the <b>#</b> symbol in front of a term also turns off the automatic retrieval of equivalencies. judgement would also retrieve judgment. three would also retrieve 3. <b>#</b> perm retrieves perm but not permanent.

**Search type**

☒ Boolean  ☐ Natural Language ☐ Citation

(Impact & analysis) & "Senior managers regime" %  
United Kingdom

Use the fields below to build a Boolean Terms and Connectors query

Find documents that have

**All of these terms**

Impact analysis

**Any of these terms**

**This exact phrase**

Senior managers regime

Exclude documents that have

**These terms**

United Kingdom

Alternatively, to further help you build a Boolean search, there is a guided Boolean search tool available.

Click **Use Guided Boolean and Connectors Search** to enable this functionality. By entering relevant words or phrases in the search boxes: **All of these terms**, **Any of these terms**, and **This exact phrase**, you will automatically build a Boolean search phrase using the required operators.

You can also choose to exclude documents that have a particular word or phrase by specifying those in the **These terms** box.

## NATURAL LANGUAGE

The natural language search method allows you to use plain English to retrieve relevant documents. When you enter a description of your issue or question, our search engine removes common terms such as *is* and *for*, and generates variations of terms such as *management*, *managers* and *managing*. The system then displays documents that best match the concepts or key terms in your search.

## CITATION

Searching by citation allows you to locate a specific U.S. document.

# DATES

You can also manipulate your search using dates. All of our content is tagged to a specific date type. In Advanced Search you are able to choose the date range of your search by selecting **Restrict date from** and **Restrict date to**. You can further narrow your search by selecting from the series of date types. *Please note:* All date types are selected by default.

**Restrict date from**  
01/10/2015

**Restrict date to**  
31/12/2015

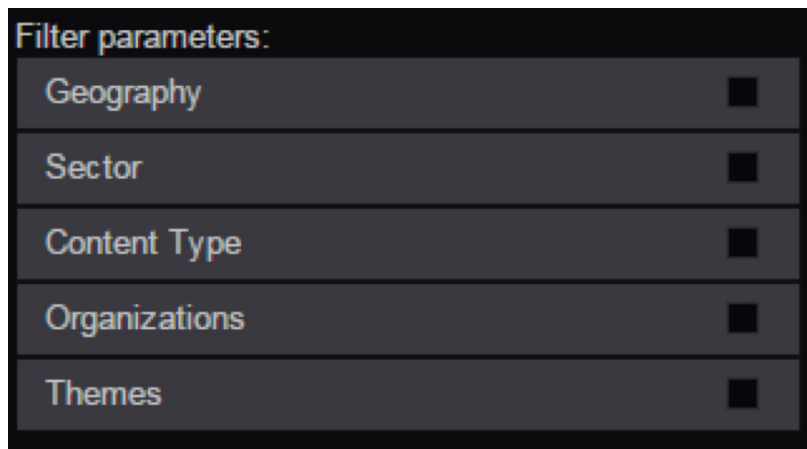
**- Date types**  
☒ Using 11 out of 11  
☒ Effective Date  
☒ Source Publication Date  
☒ Approval Date  
☒ Filing Date  
☒ Document Date  
☒ Expiration Date  
☒ Adopted Date  
☒ Comment Date  
☒ Compliance Date  
☒ Publication Date  
☒ Expected Future Date

The table below provides more information about the types of dates available and their definitions:

DATE NAME	DEFINITIONS
Effective Date	When a new rule or change takes effect.
Source Publication Date	When the source document was published by the source regulator/organization.
Approval Date	When the proposed rule or amendment is approved.
Filing Date	When an SRO (State Regulatory Organization) submits the rule for filing with SEC.
Document Date	When the regulatory organization created the document.
Expiration Date	The end date of an interim or temporary rule.
Adopted Date	When a rule or amendment was adopted by the regulator.
Comment Date	The deadline for comments in a rule-making initiative.
Compliance Date	When the industry must comply with a rule change.
Publication Date	When Thomson Reuters published a document – like a news, analysis, or regulatory event.
Expected Future Date	When a regulatory event is expected to happen.

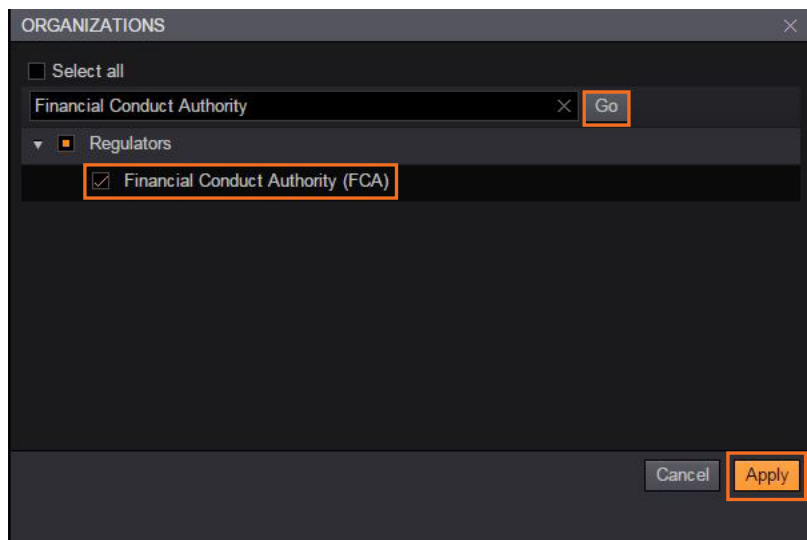
# FILTER PARAMETERS

All regulatory intelligence content is taxonomized to one or more filter parameters. Using the filter parameters allows you to narrow your search to find only the information you are looking for. The following filter parameters are available:



When you click into one of the filter parameters, you can then create a more detailed search into the relevant category.

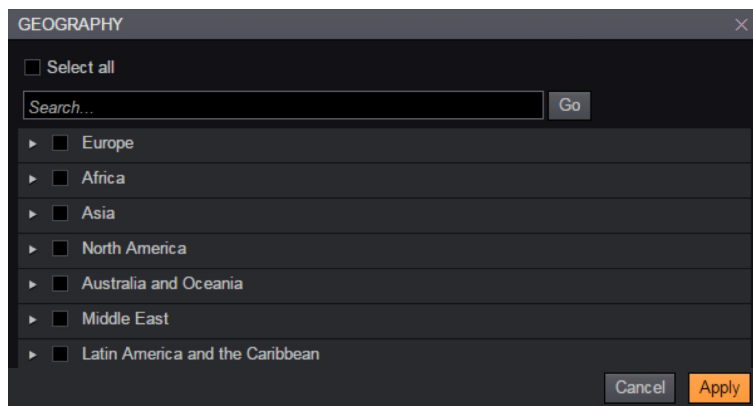
To search, type in the search box and click **Go**. Once your relevant parameter has been identified, select it and click **Apply**.





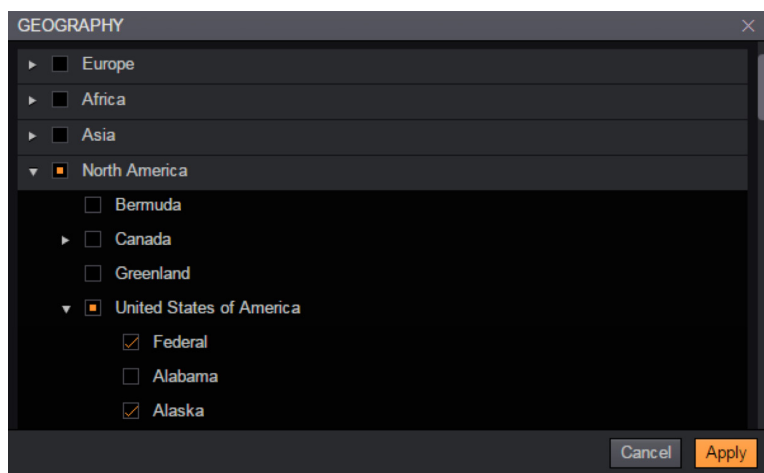
# GEOGRAPHY

This allows you to narrow your search to specific regions.



The screenshot shows a dark-themed dialog box titled "GEOGRAPHY" with a close button (X) in the top right corner. At the top left, there is a checkbox labeled "Select all". Below this is a search bar with the placeholder text "Search..." and a "Go" button to its right. A list of regions is displayed below the search bar, each preceded by a right-pointing triangle icon and a checkbox: Europe, Africa, Asia, North America, Australia and Oceania, Middle East, and Latin America and the Caribbean. At the bottom right of the dialog box are two buttons: "Cancel" and "Apply".

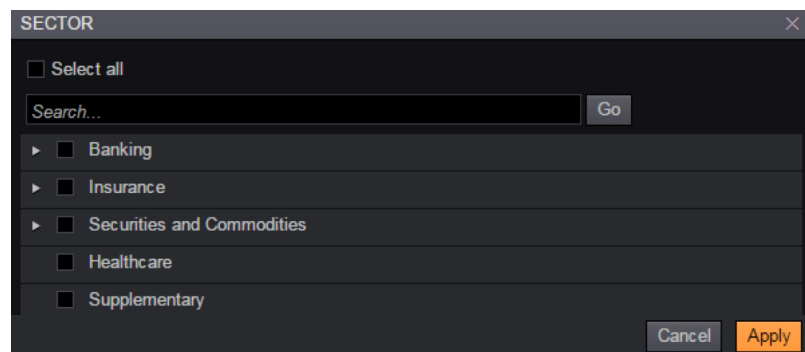
By choosing a region, you will be selecting all countries in that specific area. Alternatively, you can expand the list of underlying countries or regions to make the selection at that level (for example, select **North America** > select **United States of America** > select **Federal** or any of the underlying states).



This screenshot shows the same "GEOGRAPHY" dialog box, but with the "North America" region expanded. The "North America" entry now has a downward-pointing triangle icon and a small orange square next to it. Below it, a list of countries and regions is shown, each with a checkbox: Bermuda, Canada, Greenland, and United States of America. The "United States of America" entry is further expanded, showing a list of federal entities with checkboxes: Federal (checked), Alabama, and Alaska (checked). The "Cancel" and "Apply" buttons remain at the bottom right.

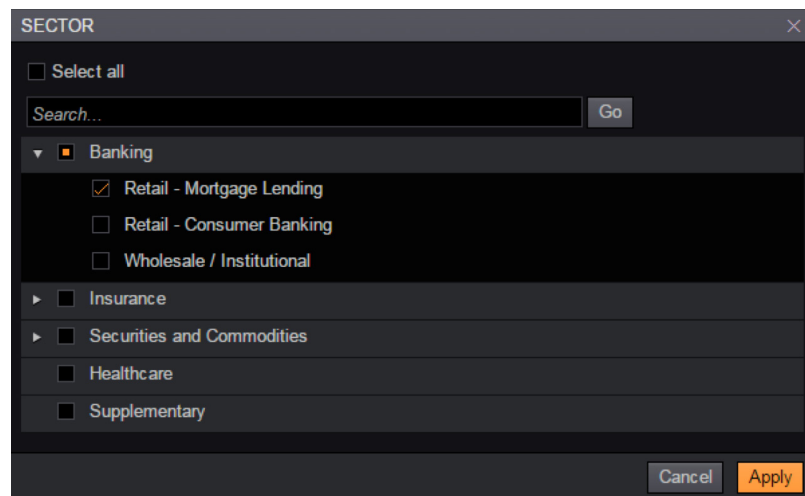
# SECTOR

This allows you to narrow your search to specific sectors, as seen below:



A screenshot of a dark-themed dialog box titled "SECTOR" with a close button (X) in the top right corner. At the top left is a checkbox labeled "Select all". Below it is a search bar with the placeholder text "Search..." and a "Go" button to its right. The main area contains a list of sectors, each preceded by a right-pointing triangle icon and a checkbox: "Banking", "Insurance", "Securities and Commodities", "Healthcare", and "Supplementary". At the bottom right are "Cancel" and "Apply" buttons.

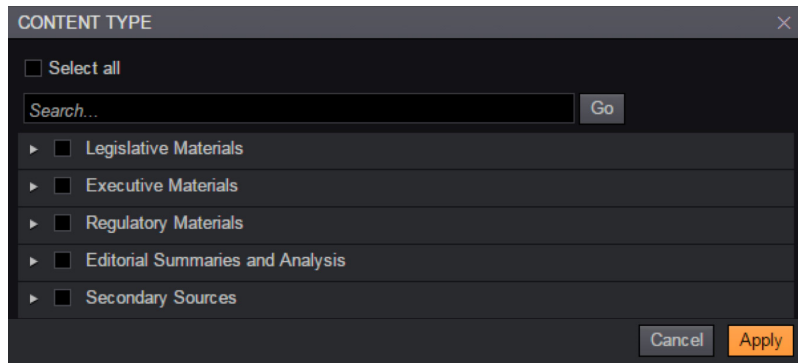
You can also drill down further within certain sectors (for example, **Banking** > **Retail - Mortgage Lending**).



A screenshot of the same "SECTOR" dialog box, but with the "Banking" sector expanded. The "Banking" entry now has a downward-pointing triangle icon and a small orange square icon to its left. Underneath "Banking", three sub-sectors are listed with checkboxes: "Retail - Mortgage Lending" (which is checked), "Retail - Consumer Banking", and "Wholesale / Institutional". The other sectors ("Insurance", "Securities and Commodities", "Healthcare", "Supplementary") remain collapsed with right-pointing triangle icons. The "Cancel" and "Apply" buttons are still at the bottom right.

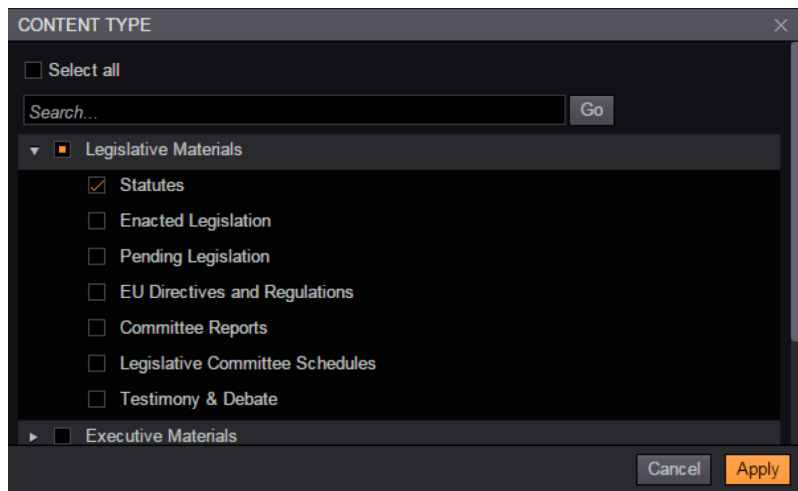
# CONTENT TYPE

This enables you to restrict your search to specific content types, as seen below:



The screenshot shows a dialog box titled "CONTENT TYPE" with a close button (X) in the top right corner. At the top left, there is a checkbox labeled "Select all". Below this is a search bar with the placeholder text "Search..." and a "Go" button. The main area of the dialog contains a list of categories, each preceded by a right-pointing triangle icon and a checkbox: "Legislative Materials", "Executive Materials", "Regulatory Materials", "Editorial Summaries and Analysis", and "Secondary Sources". At the bottom right, there are "Cancel" and "Apply" buttons.

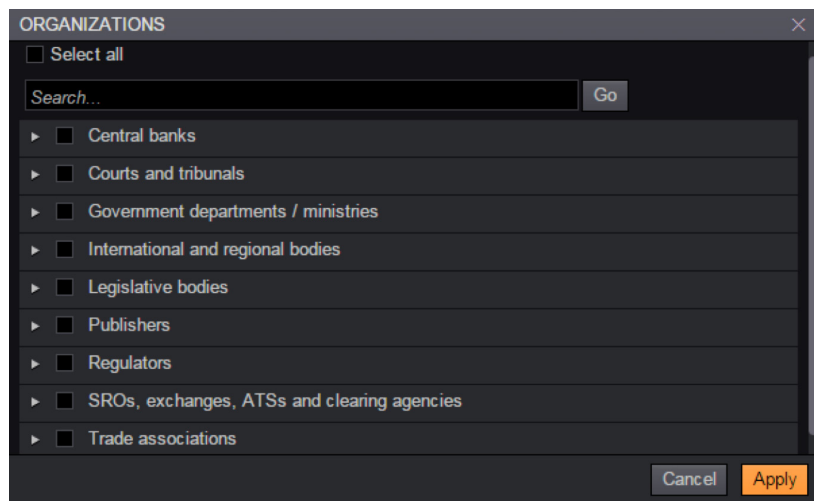
You can also drill down further within each category (for example, **Legislative Materials** > **Statutes**):



This screenshot shows the same "CONTENT TYPE" dialog box, but with the "Legislative Materials" category expanded. The expanded list shows several sub-categories, each with a checkbox: "Statutes" (which is checked), "Enacted Legislation", "Pending Legislation", "EU Directives and Regulations", "Committee Reports", "Legislative Committee Schedules", and "Testimony & Debate". The "Executive Materials" category remains collapsed below. The "Cancel" and "Apply" buttons are still at the bottom right.

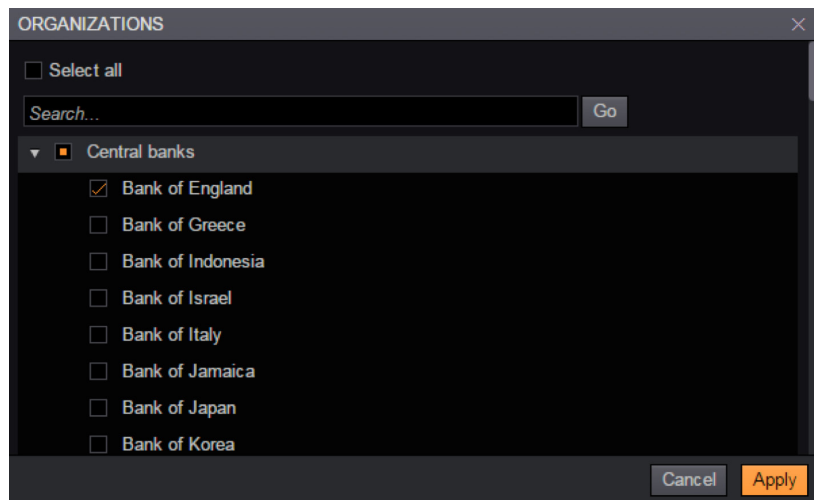
# ORGANIZATIONS

This allows you to narrow your search for information published by one or more of the organizations or regulatory bodies that we monitor:



The screenshot shows a dialog box titled "ORGANIZATIONS" with a close button (X) in the top right corner. At the top left, there is a checkbox labeled "Select all". Below this is a search bar with the placeholder text "Search..." and a "Go" button to its right. A list of organization types is displayed below the search bar, each preceded by a right-pointing triangle icon and a checkbox: "Central banks", "Courts and tribunals", "Government departments / ministries", "International and regional bodies", "Legislative bodies", "Publishers", "Regulators", "SROs, exchanges, ATs and clearing agencies", and "Trade associations". At the bottom right of the dialog box are two buttons: "Cancel" and "Apply".

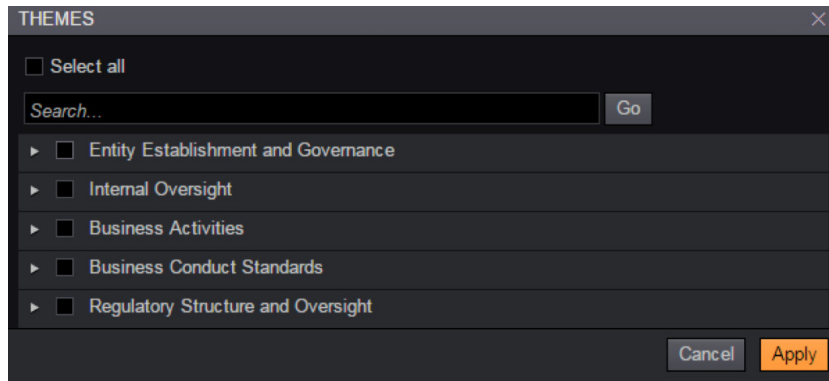
You can further drill down within each organization type (for example, **Central banks** > **Bank of England**):



This screenshot shows the same "ORGANIZATIONS" dialog box, but with the "Central banks" category expanded. The "Central banks" item now has a downward-pointing triangle icon and a small orange square icon next to it. Below it, a list of specific banks is shown, each with a checkbox: "Bank of England" (checked), "Bank of Greece", "Bank of Indonesia", "Bank of Israel", "Bank of Italy", "Bank of Jamaica", "Bank of Japan", and "Bank of Korea". The "Cancel" and "Apply" buttons remain at the bottom right.

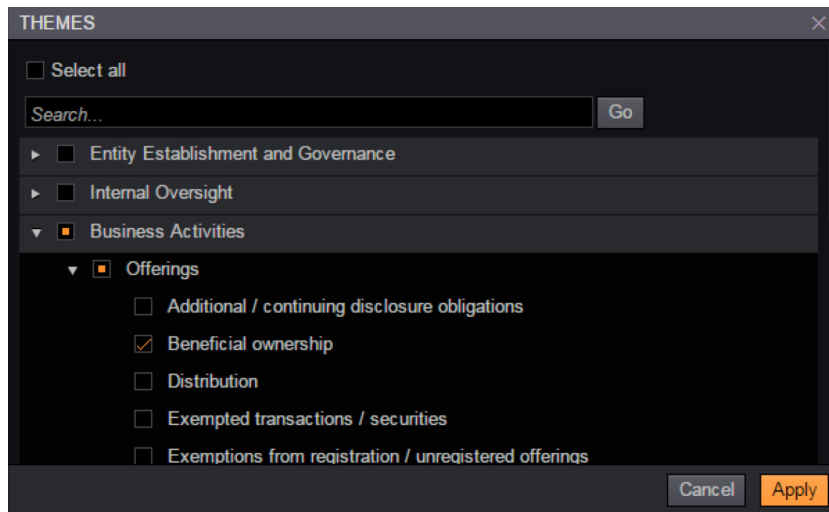
# THEMES

This enables you to narrow your search to specific themes:



The THEMES dialog box features a title bar with a close button. Below the title bar is a "Select all" checkbox. A search bar with the placeholder text "Search..." and a "Go" button is positioned next to it. A list of themes is displayed, each with a right-pointing triangle icon and a checkbox: "Entity Establishment and Governance", "Internal Oversight", "Business Activities", "Business Conduct Standards", and "Regulatory Structure and Oversight". At the bottom right, there are "Cancel" and "Apply" buttons.

You can also further refine your search within each general theme (for example, **Business Activities** > **Offerings** > **Beneficial ownership**):

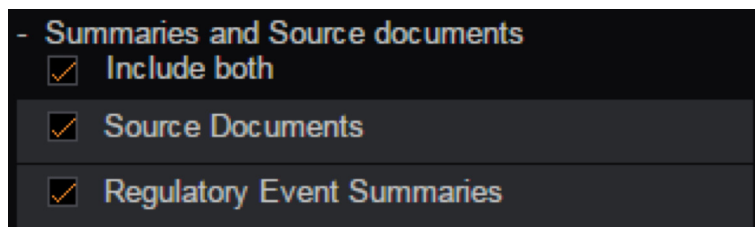


This screenshot shows the THEMES dialog box with the "Business Activities" theme expanded. It now includes a sub-section titled "Offerings" with a downward-pointing triangle icon and a checkbox. Under "Offerings", there is a list of sub-themes, each with a checkbox: "Additional / continuing disclosure obligations", "Beneficial ownership" (which is checked), "Distribution", "Exempted transactions / securities", and "Exemptions from registration / unregistered offerings". The "Cancel" and "Apply" buttons remain at the bottom right.



## SUMMARIES AND SOURCE DOCUMENTS

The Summaries and Source documents filter allows you to select whether you want to view either the regulatory event summaries created by the Thomson Reuters editorial team, the source documents hosted or published on TRRI, or both. By default both are selected, and if unchanged, your search will return both source documents and regulatory event summaries.



- Summaries and Source documents

- ☒ Include both
- ☒ Source Documents
- ☒ Regulatory Event Summaries

Once you have your search criteria defined, click the **Search** button.

To clear the search criteria, click **Reset**.

To save your search criteria, click **Save as My Update**.



Save as My Update   Reset   Search

## RISK MANAGEMENT SOLUTIONS FROM THOMSON REUTERS

Risk Management Solutions bring together trusted regulatory, customer and pricing data, intuitive software and expert insight and services – an unrivaled combination in the industry that empowers professionals and enterprises to confidently anticipate and act on risks – and make smarter decisions that accelerate business performance.

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you need to find trusted answers.



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