Regulatory Intelligence Regulatory Calendar

"At a glance" regulatory deadlines Introducing a new three-month calendar to help you track important dates



Thomson Reuters® Regulatory Intelligence Regulatory Calendar provides you with an "at a glance" list of upcoming regulatory deadlines that are specific to region, sector, and certain key subject areas. This three-month Regulatory Calendar contains many key regulatory developments, initiatives, and actions that can influence strategy, operations, or culture.

The earlier you're briefed, the better prepared for compliance with regulations you can be. To have a comprehensive, accurate, forward-looking calendar allows you to meet this objective and enables you to contribute to regulatory debates at an earlier stage.



Key benefits

- Format of calendars Calendars are structured so that the most current deadlines appear at the top of each list and then other regulatory alerts are listed beneath in the order which they are due. The calendar gives brief details of each alert namely date, title, brief description, regulator, and then link to the source document.
- Deadlines The calendar aims to capture future dates for regulatory communications that require feedback like consultations, calls for input etc.
- **Timeframes** The calendar is a list of regulatory deadlines with due dates within the next three months, updated on a rolling basis. Calendars will be updated monthly by the end of each month.
- Geographical split Calendars are split into regions.

There are currently calendars covering (i) USA (ii) Europe (iii) Asia (iv) Australia and (v) Canada.

Calendar - USA - There are two U.S. calendars - one for banking and one for securities and commodities.

- Banking This calendar tracks future regulatory deadlines from the most prominent federal banking regulators namely the FED, OCC, FDIC, CFPB, and Dept of the Treasury (including FINCEN). The calendar does not cover state regulators.
- Securities and commodities This calendar tracks regulatory deadlines from the most prominent securities and commodities regulators namely the SEC, FINRA, CFTC, and NFA. The calendar *does not* cover state regulators.

Calendar – UK – The calendar covers the main UK regulators including PRA, FCA, PSR, CMA, HM Treasury, ICO, and Bank of England. Additionally, it covers the Law Commission and FOS.

Calendar – Europe – The calendar covers activity from the main EU regulators namely the European Commission, EBA, ESMA, and EIOPA.

Calendar – Australia and New Zealand – The calendar covers the main regulators including APRA, ASIC, RBA, FMA, and RBNZ.

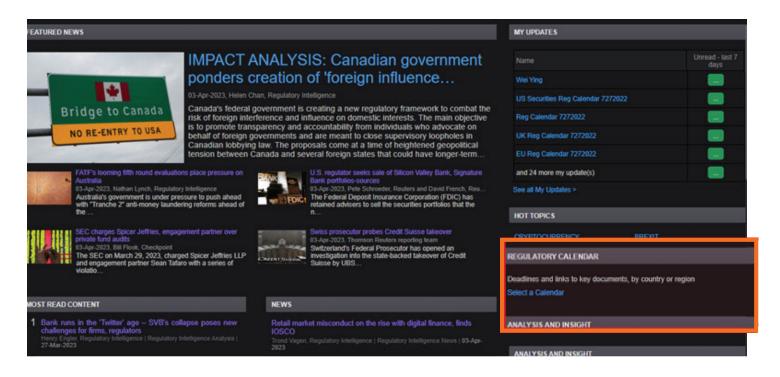
Calendar - Asia - The calendar covers the main regulators in the following countries:

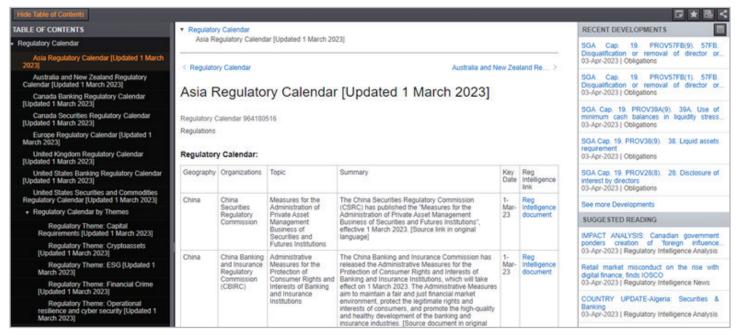
- Hong Kong HKMA and SFC Singapore MAS
- Malaysia BNM
- Japan Japan FSA
- · South Korea FSC and FSS
- China CBIRC and CSRC
- India Reserve Bank of India and Securities and Exchange Board
- Pakistan Securities and Exchange Commission

Calendar – Canada – Currently there is one calendar covering Banking. It includes activity from the OSFI. An additional calendar for Canada Securities is currently being developed and will be available in due course. The calendar <u>does not cover</u> regional regulators.

- **Themes** For the Europe/UK calendar, we have selected topical themes and extracted future regulatory deadlines in each theme. The themes currently being populated are:
 - · Capital requirements
 - Cryptoassets
 - Financial crime
 - ESG
 - · Operational resilience







Thomson Reuters Regulatory Intelligence

Thomson Reuters Regulatory Intelligence delivers a focused view of the global regulatory environment. It empowers compliance professionals to make well-informed decisions to manage regulatory risk with confidence using the most trusted intelligence available. Thomson Reuters Regulatory Intelligence is powered by highly trusted and comprehensive content. The solution's intuitive tools cut through the complexity and sheer volume of regulatory content and developments, providing clarity on what is most important to the organization in a cost-effective way. Content can be viewed at a global industry-wide level or filtered for a more granular view to meet an organization's specific needs.

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